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11/28/01 U.S. PTO

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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	018680-00052
First Inventor	Graham A. Morehead et al.
Title	Facilitated Remote Console and Terminal Emulator
Express Mail Label No.	EL179470730US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages **28**]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **3**]
5. Oath or Declaration [Total Pages **2**]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Request for Publication prior to 18 Month Publication Date

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Prior application information:

Examiner \_\_\_\_\_

Group Art Unit. \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.**19. CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Label **22904** or ☐ Correspondence address below  
(Insert Customer No. or Attach bar code label here)

Name

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

Steven S. Boyd

Registration No. (Attorney/Agent)

42,353

Signature

Date

11/28/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

<i>Applicants:</i>	§	<i>Group Art Unit:</i> <b>Unknown</b>
<b>Graham A. Morehead, Armen Y.</b>	§	
<b>Skenderian and Harris L. Gilliam</b>	§	<i>Examiner:</i> <b>Unknown</b>
<i>Filed:</i> <b>November 26, 2001</b>	§	
<i>Serial No.:</i> <b>09/947,293</b>	§	
<i>For:</i> <b>Facilitated Remote Console and</b>	§	<i>Attorney Docket No.:</i> <b>018680-00052</b>
<b>Terminal Emulator</b>	§	

U.S. Patent and Trademark Office,  
Box Patent Application  
P.O. Box 2327  
Arlington, VA 22202

**TRANSMITTAL LETTER**

Dear Sir:

Enclosed for filing in the above-captioned application are the following documents:

- (1) Certificate of Mailing Under 37 C.F.R. § 1.10;
- (2) Utility Patent Application Transmittal;
- (3) Fee Transmittal for FY 2001;
- (4) Utility Patent Application – 28 pgs.;
- (5) Formal Drawings - 3 Sheets;
- (6) Patent Application Data Sheet – 3 pgs.;
- (7) Declaration – 2 pgs.;
- (8) Power of Attorney by Assignee – 2 pgs.;
- (9) Assignment with Recordation Cover Sheet – 4 pgs.
- (10) Request for Publication Prior to 18-Month Publication Date;
- (11) Checks for \$1,004.00 and \$40.00; and
- (12) Return receipt postcard.

Applicants believe the required fee for filing the above papers is \$1,004.00, along with the assignment recordation fee of \$40.00. If however, the Applicants are in error, the Commissioner is hereby authorized to charge the Locke Liddell & Sapp LLP Deposit Account No. 12-1322 (018680-00052) for any additional fees and/or credits during the entire pendency of this application.

A duplicate of this transmittal letter is enclosed for accounting purposes.

Respectfully submitted,



Dated: November 28, 2001

Steven S. Boyd

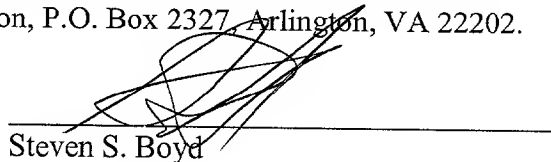
Registration No.: 42,353

LOCKE LIDDELL & SAPP LLP  
600 Travis, Suite 3400  
Houston, Texas 77002-3095  
Telephone No.: (713) 226-1218  
Facsimile No.: (713) 223-3717

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.10**

I hereby certify that on the date indicated below this correspondence and the documents referred to as attached therein are being deposited with the United States Postal Service via Express Mail No. EL179470726US in accordance with 37 C.F.R. § 1.10, addressed to U.S. Patent and Trademark Office, Box Patent Application, P.O. Box 2327, Arlington, VA 22202.

DATED: November 28, 2001



Steven S. Boyd

# FEE TRANSMITTAL for FY 2002

*Patent fees are subject to annual revision.*

**TOTAL AMOUNT OF PAYMENT** (\$ ) 1,004.00

## Complete if Known

Application Number	New Application
Filing Date	November 28, 2001
First Named Inventor	Graham A. Morehead et al.
Examiner Name	
Group Art Unit	
Attorney Docket No.	018680-00052

### METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number   
Deposit Account Name

☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	370
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

**SUBTOTAL (1)** (\$ ) 370

#### 2. EXTRA CLAIM FEES

Total Claims		Extra Claims		Fee from below		Fee Paid	
26	-20** = 6	6	X	9	=	54	
5	-3** = 2	2	X	140	=	280	
Multiple Dependent							

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)** (\$ ) 334

\*\*or number previously paid, if greater; For Reissues, see above

### FEE CALCULATION (continued)

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify) <u>Request for Publication Prior to 18-Month Publication Date</u>					300

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$ ) 300

### SUBMITTED BY

Name (Print/Type)	Steven S. Boyd	Registration No (Attorney/Agent)	42,353	Complete (if applicable)
Signature		Telephone	(713) 226-1218	
		Date	11/28/2001	

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

<i>Applicants:</i>	§	<i>Group Art Unit:</i> Unknown
<b>Graham A. Morehead, Armen Y.</b>	§	
<b>Skenderian and Harris L. Gilliam</b>	§	<i>Examiner:</i> Unknown
<i>Filed:</i> November 26, 2001	§	
<i>Serial No.:</i> 09/947,293	§	
<i>For:</i> <b>Facilitated Remote Console and</b>	§	<i>Attorney Docket No.:</i> 018680-00052
<b>Terminal Emulator</b>	§	

**REQUEST FOR PUBLICATION PRIOR TO 18-MONTH PUBLICATION DATE**

U.S. Patent and Trademark Office  
Box Patent Application  
P.O. Box 2327  
Arlington, VA 22202

Under the provisions of 37 C.F.R. § 1.219, Applicants hereby request that the application referenced above be published prior to the projected 18-month publication date. Further, in accordance with 37 C.F.R. § 1.18(d), Applicants hereby submit the application fee of \$300.00 for this request.

Applicants believe there are no additional fees required to file the above-referenced document. If, however, Applicants are in error regarding the fees, the Commissioner is hereby authorized to debit the Locke Liddell & Sapp LLP Deposit Account No. 12-1322 (018680-00052), for this fee and any additional fees and/or credits during the entire pendency of this application.

11/30/2001 EFLDRES 00000016 09947293

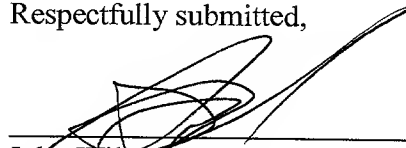
01 FC:195

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DATED: November 28, 2001

LOCKE LIDDELL & SAPP LLP  
600 Travis, Suite 3400  
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Facsimile No.: (713) 223-3717

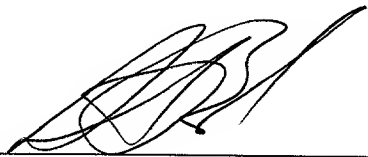
Respectfully submitted,

  
\_\_\_\_\_  
John Wilson Jones  
Registration No. 31,380  
Steven S. Boyd  
Registration No. 42,353

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.10**

I hereby certify that on the date indicated below this correspondence and the documents referred to as attached therein are being deposited with the United States Postal Service via Express Mail No. EL179470730US in accordance with 37 C.F.R. § 1.10, addressed to U.S. Patent and Trademark Office, Box Patent Application, P.O. Box 2327, Arlington, VA 22202.

DATED: November 28, 2001

  
\_\_\_\_\_  
Steven S. Boyd